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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/770,104 | 01/25/2001 | Alan Ray Merrell | CVNT 1017-1 |

CONFIRMATION NO. 5361

FORMALITIES LETTER



OC000000005830701

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019

Date Mailed: 03/06/2001

05/11/2001 H00000000 09/770,104
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:101 710.00 OP
02 FC:105 130.00 OP
03 FC:103 180.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1020.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



H-A

Section 4

Attorney Docket No.: CVNT 1017-1
DC-02525

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents, Washington, D.C. 20231, on 4 May 2001.

Sue Bromaghim

4 May 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

ALAN RAY MERRELL et al.)

Application No. 09/770,104)

Filed: 25 January 2001)

Title: **Architecture for Access to Embedded
Files Using a SAN Intermediate Device**)

Group Art Unit: 2152

Examiner: *Unassigned*

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner of Patents
Washington, D.C. 20231
Sir:

In response to the Notice to File Missing Parts of Application dated 06 March 2001, enclosed please find:

- ☒ Form PTO-1533 (copy of Notice to be returned with response);
- ☒ Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Declaration Claiming Small Entity Status;
- ☒ Assignment document, Form PTO-1595, and the \$40.00 (Check No. 1216) assignment recordation fee;
- ☒ Power of Attorney by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 with Revocation of Prior Powers;
- ☐ Verified English translation of the Application, and the \$130.00 fee as set forth in 37 CFR § 1.17(k);
- ☒ Check No. 1215 in the amount of \$ 1,020.00;
- ☐ Charge \$_____ to Deposit Account No. 50-0869 (Docket No. CVNT 1017-1).

The Commissioner is hereby authorized to charge any additional fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. CVNT 1017-1). A duplicate of this paper is enclosed.

Respectfully submitted,

Mark A. Haynes
Registration No. 30,846

Date: 4 May 2001

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019
(650) 712-0340